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PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2104US

First Inventor Ioana Popa-Burke

Title See 1 in Addendum

Express Mail Label No. ET327552195US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 25]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_/\_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Timothy G. Hofmeyer

Registration No. (Attorney/Agent)

46,777

Signature

Date

November 8, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

## Addendum

1. SAMPLE PREPARATION SYSTEM AND ASSOCIATED APPARATUSES AND METHOD

10008349-110301  
FOOT SHEET

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)1,538.00

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | Unknown          |
| Filing Date          | November 8, 2001 |
| First Named Inventor | Ioana Popa-Burke |
| Examiner Name        | Unknown          |
| Group / Art Unit     | Unknown          |
| Attorney Docket No.  | 2104US           |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0885

Deposit Account Name Henry P. Nowak

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code (\$) | Entity Fee Code (\$) | Small Fee Code (\$) | Entity Fee Code (\$) | Fee Description        | Fee Paid |
|---------------------|----------------------|---------------------|----------------------|------------------------|----------|
| 101                 | 690                  | 201                 | 345                  | Utility filing fee     | 740.00   |
| 106                 | 310                  | 206                 | 155                  | Design filing fee      |          |
| 107                 | 480                  | 207                 | 240                  | Plant filing fee       |          |
| 108                 | 690                  | 208                 | 345                  | Reissue filing fee     |          |
| 114                 | 150                  | 214                 | 75                   | Provisional filing fee |          |

SUBTOTAL (1) (\$)740.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 55                 | -20** = 35   | 18             | 630      |
| Independent Claims | 5            | -3** = 2       | 84       |
| Multiple Dependent |              |                | 0        |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code (\$) | Entity Fee Code (\$) | Small Fee Code (\$) | Entity Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------|----------------------|---------------------|----------------------|--|----------|
| 103                 | 18                   | 203                 | 9                    | Claims in excess of 20                                     |          |
| 102                 | 78                   | 202                 | 39                   | Independent claims in excess of 3                          |          |
| 104                 | 260                  | 204                 | 130                  | Multiple dependent claim, if not paid                      |          |
| 109                 | 78                   | 209                 | 39                   | ** Reissue independent claims over original patent         |          |
| 110                 | 18                   | 210                 | 9                    | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)798.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code (\$)       | Entity Fee Code (\$) | Small Fee Code (\$) | Entity Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------------|----------------------|---------------------|----------------------|--|----------|
| 105                       | 130                  | 205                 | 65                   | Surcharge - late filing fee or oath  | 0.00     |
| 127                       | 50                   | 227                 | 25                   | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                       | 130                  | 139                 | 130                  | Non-English specification  | 0.00     |
| 147                       | 2,520                | 147                 | 2,520                | For filing a request for reexamination                                     | 0.00     |
| 112                       | 920*                 | 112                 | 920*                 | Requesting publication of SIR prior to Examiner action                     | 0.00     |
| 113                       | 1,840*               | 113                 | 1,840*               | Requesting publication of SIR after Examiner action                        | 0.00     |
| 115                       | 110                  | 215                 | 55                   | Extension for reply within first month                                     | 0.00     |
| 116                       | 380                  | 216                 | 190                  | Extension for reply within second month                                    | 0.00     |
| 117                       | 870                  | 217                 | 435                  | Extension for reply within third month                                     | 0.00     |
| 118                       | 1,360                | 218                 | 680                  | Extension for reply within fourth month                                    | 0.00     |
| 128                       | 1,850                | 228                 | 925                  | Extension for reply within fifth month                                     | 0.00     |
| 119                       | 300                  | 219                 | 150                  | Notice of Appeal   | 0.00     |
| 120                       | 300                  | 220                 | 150                  | Filing a brief in support of an appeal                                     | 0.00     |
| 121                       | 260                  | 221                 | 130                  | Request for oral hearing   | 0.00     |
| 138                       | 1,510                | 138                 | 1,510                | Petition to institute a public use proceeding                              | 0.00     |
| 140                       | 110                  | 240                 | 55                   | Petition to revive - unavoidable   | 0.00     |
| 141                       | 1,210                | 241                 | 605                  | Petition to revive - unintentional   | 0.00     |
| 142                       | 1,210                | 242                 | 605                  | Utility issue fee (or reissue)   | 0.00     |
| 143                       | 430                  | 243                 | 215                  | Design issue fee   | 0.00     |
| 144                       | 580                  | 244                 | 290                  | Plant issue fee  | 0.00     |
| 122                       | 130                  | 122                 | 130                  | Petitions to the Commissioner  | 0.00     |
| 123                       | 50                   | 123                 | 50                   | Petitions related to provisional applications                              | 0.00     |
| 126                       | 240                  | 126                 | 240                  | Submission of Information Disclosure Stmt                                  | 0.00     |
| 581                       | 40                   | 581                 | 40                   | Recording each patent assignment per property (times number of properties) | 0.00     |
| 146                       | 690                  | 246                 | 345                  | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00     |
| 149                       | 690                  | 249                 | 345                  | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00     |
| Other fee (specify) _____ |                      |                     |                      |  | 0.00     |
| Other fee (specify) _____ |                      |                     |                      |  | 0.00     |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)0.00

## SUBMITTED BY

Name (Print/Type) Timothy G. Hofmeyer

Signature [Signature]

Registration No. (Attorney/Agent) 46,777

## Complete (if applicable)

Telephone 919-425-3000

Date 11/8/01

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